
ADVANCES IN NEURAL INFORMATION PROCESSING SYSTEMS 8

Proceedings of the 1995 Conference

Committees

All rights reserved. No part of this book may be reproduced in any form by electronic or mechanical means, including photocopying, recording, or information storage and retrieval systems, without permission in writing from the publisher.

COGNITIVE SCIENCE

Learning the Structure of Similarity

T. B. TENENBAUM

A Model of Spatial Representations in Parietal Cortex Explains Head Direction

A. POUGNET, T. J. TENENBAUM

edited by

David S. Touretzky, Michael C. Mozer, and Michael E. Hasselmo

Human

Extracting Tree-structured Representations of Tripartite Networks

M. W. CRAVEN, J. W. SHAYLICK

Harmony Networks Do Not Work

R. GOURLEY

Dynamics of Attention as Near-Saddle-node Bifurcation Behavior

H. NAKAHARA, K. DOYA

Rapid Quality Estimation of Neural Network Input Representations

K. J. CHEBKAUER, J. W. SHAYLICK

A Model of Aisthory Streaming

E. L. MCCABE, M. J. DENHAM

PART II

NEUROSCIENCE

Modeling Interactions of the Rat's Place and Head Direction Systems

A. D. RIPSZIL, D. S. TOURETZKY

Correlated Neuronal Response Time Scales and Mechanisms

W. BAIR, E. ZOHARY, C. KOCH

Information through a Spiking Neuron

C. STEVENS, A. ZADOR

Reorganization of Somatosensory Cortex

K. S. PETERSEN, J. G. TAYLOR

A Bradford Book

The MIT Press

Cambridge, Massachusetts

London, England

Explorations with the Dynamic Wave Model

Thomas P. Rebotier
Department of Cognitive Science
UCSD, 9500 Gilman Dr
LA JOLLA CA 92093-0515
rebotier@cogsci.ucsd.edu

Jeffrey L. Elman
Department of Cognitive Science
UCSD, 9500 Gilman Dr
LA JOLLA CA 92093-0515
elman@cogsci.ucsd.edu

Abstract

Following Shrager and Johnson (1995) we study growth of logical function complexity in a network swept by two overlapping waves: one of pruning, and the other of Hebbian reinforcement of connections. Results indicate a significant spatial gradient in the appearance of both linearly separable and non linearly separable functions of the two inputs of the network; the n.l.s. cells are much sparser and their slope of appearance is sensitive to parameters in a highly non-linear way.

1 INTRODUCTION

Both the complexity of the brain (and concomitant difficulty encoding that complexity through any direct genetic mapping), as well as the apparently high degree of cortical plasticity suggest that a great deal of cortical structure is emergent rather than pre-specified. Several neural models have explored the emergence of complexity. Von der Marlsburg (1973) studied the grouping of orientation selectivity by competitive Hebbian synaptic modification. Linsker (1986.a, 1986.b and 1986.c) showed how spatial selection cells (off-center on-surround), orientation selective cells, and finally orientation columns, emerge in successive layers from random input by simple, Hebbian-like learning rules. Miller (1992, 1994) studied the emergence of orientation selective columns from activity dependant competition between on-center and off-center inputs.

Kerzberg, Changeux and Dehaene (1992) studied a model with a dual-aspect learning mechanism: Hebbian reinforcement of the connection strengths in case of correlated activity, and gradual pruning of immature connections. Cells in this model were organized on a 2D grid, connected to each other according to a probability exponentially decreasing with distance, and received inputs from two different sources,

A and B, which might or might not be correlated. The analysis of the network revealed 17 different kinds of cells: those whose output after several cycles depended on the network's initial state, and the 16 possible logical functions of two inputs. Kerzberg et al. found that learning and pruning created different patches of cells implementing common logical functions, with strong excitation within the patches and inhibition between patches.

Shrager and Johnson (1995) extended that work by giving the network structure in space (structuring the inputs in intricated stripes) or in time, by having a Hebbian learning occur in a spatiotemporal wave that passed through the network rather than occurring everywhere simultaneously. Their motivation was to see if these learning conditions might create a cascade of increasingly complex functions. The approach was also motivated by developmental findings in humans and monkeys suggesting a move of the peak of maximal plasticity from the primary sensory and motor areas towards parietal and then frontal regions. Shrager and Johnson classified the logical functions into three groups: the constants (order 0), those that depend on one input only (order 1), those that depend on both inputs (order 2). They found that a slow wave favored the growth of order 2 cells, whereas a fast wave favored order 1 cells. However, they only varied the connection reinforcement (the growth Trophic Factor), so that the still diffuse pruning affected the rightmost connections before they could stabilize, resulting in an overall decrease which had to be compensated for in the analysis.

In this work, we followed Shrager and Johnson in their study of the effect of a dynamic wave of learning. We present three novel features. Firstly, both the growth trophic factor (hereafter, TF) and the probability of pruning (by analogy, "death factor", DF) travel in gaussian-shaped waves. Second, we classify the cells in 4, not 3, orders: order 3 is made of the non-linearly separable logical functions, whereas the order 2 is now restricted to linearly separable logical functions of both inputs. Third, we use an overall measure of network performance: the slope of appearance of units of a given order. The density is neglected as a measure not related to the specific effects we are looking for, namely, spatial changes in complexity. Thus, each run of our network can be analyzed using 4 values: the slopes for units of order 0, 1, 2 and 3 (See Table 1.). This extreme summarization of functional information allows us to explore systematically many parameters and to study their influence over how complexity grows in space.

Table 1: Orders of logical complexity

ORDER	FUNCTIONS
0	True False
1	A !A B !B
2	A.B !A.B A.!B !A.!B A∨B !A∨B A∨!B !A∨!B
3	A xor B, A==B

2 METHODS

Our basic network consisted of 4 columns of 50 units (one simulation verified the scaling up of results, see section 3.2). Internal connections had a gaussian bandwidth and did not wrap around. All initial connections were of weight 1, so that the connectivity weights given as parameters specified a number of labile connections. Early investigations were made with a set of manually chosen parameters ("MAN-

UAL"). Afterwards, two sets of parameters were determined by a Genetic Algorithm (see Goldberg 1989): the first, "SYM", by maximizing the slope of appearance of order 3 units only, the second, "ASY", by optimizing jointly the appearance of order 2 and order 3 units ("ASY"). The "SYM" network keeps a symmetrical rate of presentation between inputs A and B. In contrast, the "ASY" net presents input B much more often than input A. Parameters are specified in Table 1 and, are in "natural" units: bandwidths and distances are in "cells apart", trophic factor is homogenous to a weight, pruning is a total probability. Initial values and pruning necessitated random number generation. We used a linear congruence generator (see p284 in Press 1988), so that given the same seed, two different machines could produce exactly the same run. All the points of each Figure are means of several (usually 40) runs with different random seeds and share the same series of random seeds.

Table 2: Default parameters

MAN.	SYM.	ASY.	name	description
8.5	6.20	12	Wae	mean ini. weight of A excitatory connections
6.5	5.2	9.7	Wai	mean ini. weight of A inhibitory connections
8.5	8.5	13.4	Wbe	mean ini. weight of B excitatory connections
6.5	6.5	14.1	Wbi	mean ini. weight of B inhibitory connections
5.0	6.5	9.9	Wne	m.ini. density of internal excitatory connections
3.5	1.24	12.4	Wni	m.ini. density of internal inhibitory connections
0.2	0.20	0.28	DW	relative variation in initial weights
7.0	1.26	0.65	Bne	bandwidth of internal excitatory connections
7.0	2.86	0.03	Bni	bandwidth of internal inhibitory connections
0.7	0.68	0.98	Cdw	celerity of dynamic wave
1.5	3.0	-3.2	Ddw	distance between the peaks of both waves
9.87	17.6	16.4	Wtf	base level of TF (=highest available weight)
0.6	0.6	0.6	Btf	bandwidth of TF dynamic wave
3.5	1.87	3.3	Tst	Threshold of stabilisation (pruning stop)
0.6	0.64	0.5	Bdf	bandwidth of DF dynamic wave
0.65	0.62	0.12	Pdf	base level of DF (total proba. of degeneration)
0.5	0.5	0.06	Pa	probability of A alone in the stimulus set
0.5	0.5	0.81	Pb	probability of B alone in the stimulus set
0.00	0.00	0.00	Pab	probability of simultaneous s A and B

3 RESULTS

3.1 RESULTS FORMAT

All Figures have the same format and summarize 40 runs per point unless otherwise specified. The top graph presents the mean slope of appearance of all 4 orders of complexity (see Table 1) on the y axis, as a function of different values of the experimentally manipulated parameter, on the x axis. The bottom left graph shows the mean slope for order 2, surrounded by a gray area one standard deviation below and above. The bottom right graph shows the mean slope for order 3, also with a 1-s.d. surrounding area. The slopes have not been normalized, and come from networks whose columns are 50 units high, so that a slope of 1.0 indicates that the number of such units increase in average by one unit per columns, ie, by 3 units

across a 4-column network. Because there were very few if any "undefined" units, the slopes approximately sum to zero. Standard deviations are obtained pointwise, which gives a very conservative estimate of reliability.

3.2 OVERALL ASPECT OF THE NETS

Very few order 3 units were appearing. The mean slope with the "SYM" and "ASY" networks was about 0.3 unit/column. As in Kertzsberg et al., units with identical function tended to appear in blocks. The "SYM" nets tend to have a general overlay of order 2 units, with sparse units of other orders appearing in the rightmost columns. The "ASY" nets manifest sharp transitions between order 0 columns to the left and order 2 columns to the right, with sparse order 3 units almost exclusively in the rightmost column.

3.3 CHANGE IN NETWORK WIDTH

Figure 1 presents the results of 4000 runs for each of 4 networks with identical parameters ("SYM") except for the number of columns, which varied from 4 to 10. This Figure allows to see how the other results of this paper scale when wider networks are being used. All slopes decrease in a nearly hyperbolic manner. Since the mean network of width N is embedded as the beginning of the mean network of width $N+P$, this suggests that the effects of the growth reach a ceiling between width 4 and 6, and that the lesser slopes of networks of width 6, 8 and 10 is due to averaging between high slope in the first 4 columns and little afterwards. All parameter sets gave similar results. In essence, this justifies our using only 4 columns for this study.

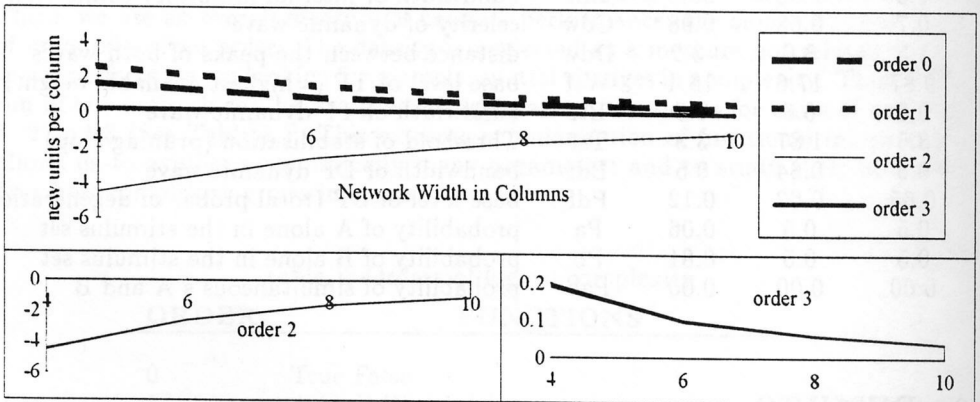


Figure 1: Scaling of the effects with network width.

3.4 CONFIRMATION OF SHRAGER AND JOHNSON'S RESULTS

Although our focus on order 3 units, especially XOR, led us to choose anti-correlated inputs (A and B never simultaneously present, $P_{ab}=0$), we ran an exploration along the simultaneity axis which allowed to confirm Shrager and Johnson's findings of increase in order 2 units when $P_{ab}=0.5$. Figure 2 presents the results of varying P_{ab} from 0 to 1. There is a regular increase of the order 2 slope with P_{ab} , and that the value which Shrager and Johnson used (0.5) yields indeed a positive slope more than 3 s.d.'s above zero. The speed of the dynamic wave of trophic factor,

which was an important determinant of the emergence of complexity in Shrager and Jonshon's study, had no remarkable influence in ours.

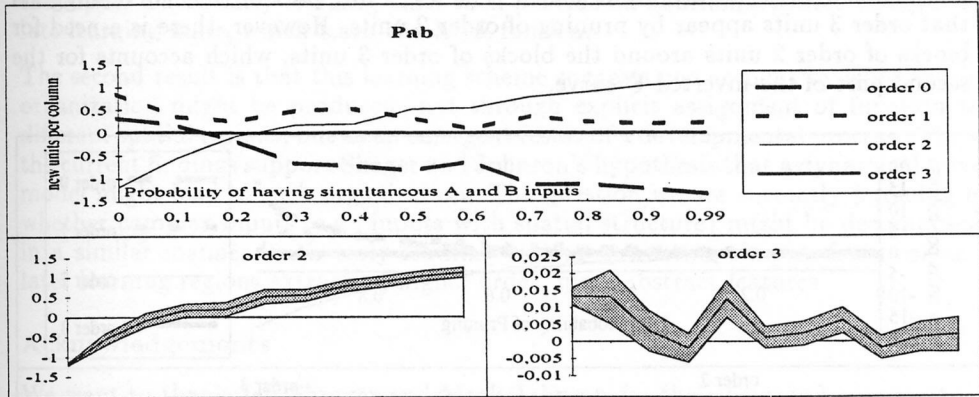


Figure 2: Influence of Simultaneity of Appearance of A and B

3.5 DENSITY OF TROPHIC FACTOR

The total amount of trophic factor distributed by the TF wave over a connection that does not die prematurely is one of the two factors of development, the other being pruning. A trophic factor of 1 means that the connection can at best double its strength (but will do so only if both neurons are in synchrony all the time). We explored amounts of trophic factors from 0 to 20 by increments of 2, with both the "SYM" and the "ASY" default parameter sets. The results show that for low levels of trophic factor (for 0 to 6) there is a linear increase of all effects with the TF level (see Figure 3 for "SYM"; in "ASY" both order 2 and order 3 slopes are positive and have the same linear-with-ceiling shape).

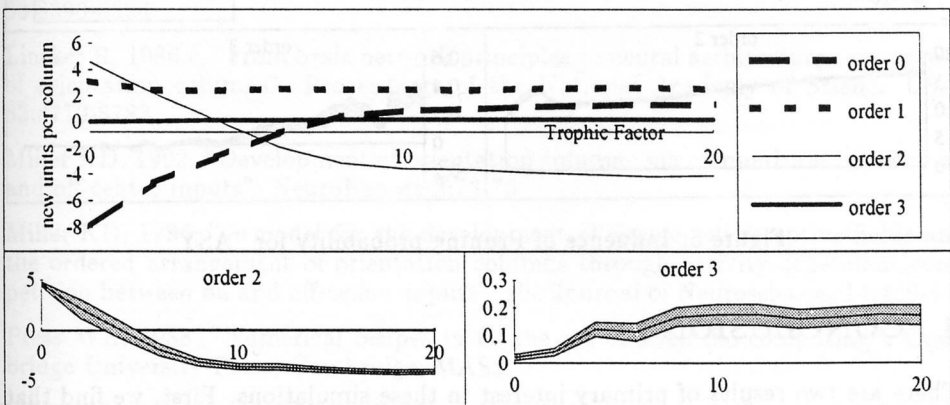


Figure 3: Influence of Trophic Factor density for "SYM"

3.6 PROBABILITY OF PRUNING

Pruning is determined by a probability of connection death, which has been varied from 0 to 0.99 (See Figures 4 and 5). In the "ASY" network, pruning has only

a small negative effect on the slope of appearance of order 2 units, but it weights heavily against appearance of order 3 units. In the "SYM" network, pruning causes a stronger slope of disappearance for order 2 units, but its influence on order 3 units is non-linear, with an inverse U shape. The ascending part of that curve suggests that order 3 units appear by pruning of order 2 units. However, there is a need for blocks of order 2 units around the blocks of order 3 units, which accounts for the second part of the inverted U curve.

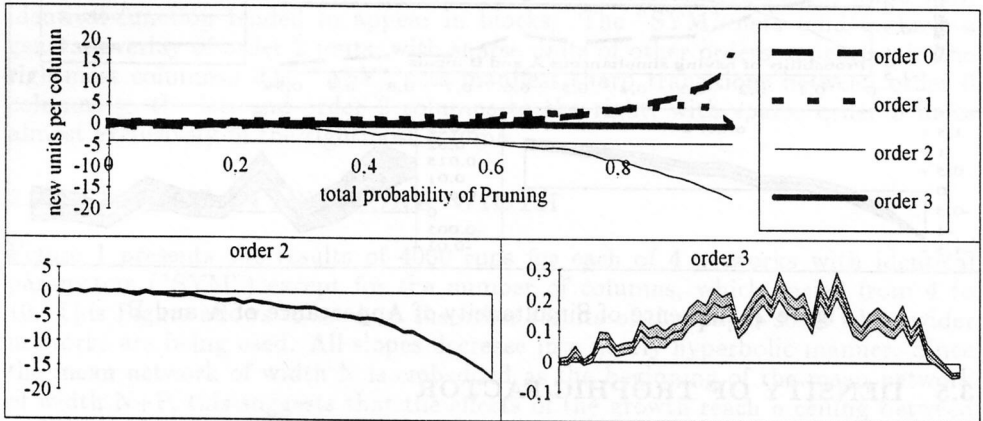


Figure 4: Influence of Pruning probability for "SYM"

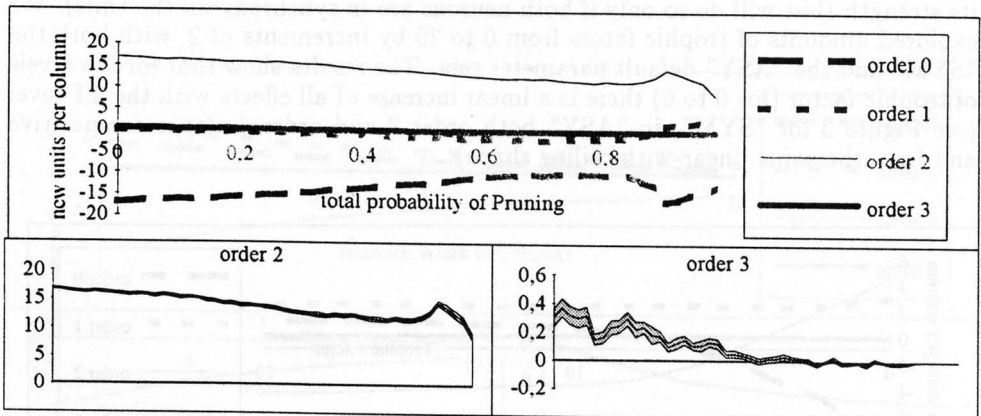


Figure 5: Influence of Pruning probability for "ASY"

4 CONCLUSION

There are two results of primary interest in these simulations. First, we find that, with several different parameter settings, non-linearly separable functions appear almost systematically in the right half of the network. This is the region of "late-learning" columns. The finding that a network trained under a Hebbian learning regime might learn the XOR function is unanticipated, since Hebbian learning in the general case will not learn uncorrelated patterns. Given the biological plausibility of Hebbian learning, it would be useful to discover a scheme by which such function could be learned. The result obtained here suggests one such plausible scheme. The

"high-order" XOR function can be composed of two lower-order functions (e.g., AND and OR; NAND and NOR). The effect of forcing learning to follow a spatiotemporal gradient is that early learning units extract low-order features from the input. These early learning units then provide an additional source of input to late-learning units, which learn the XOR function.

The second result is that this learning scheme suggests one account by which brain organization might be produced, not through explicit assignment of function to different spatial regions, but as an emergent result of a developmental process. Thus, the current findings support Shager and Johnson's hypothesis that a dynamical wave model might lead to such organization. The question we are currently pursuing is whether complex inputs (e.g., inputs with spatial structure) might be decomposed in a similar manner, with early learning regions extracting simpler features, and later learning regions extracting higher order, more abstract features.

Acknowledgements

We want to thank Jeff Shrager and Mark Johnson for their help and cooperation. This work was supported by a contract to the second author from the Office of Naval Research, contract N00014-93-1-0194.

References

- Goldberg DE, 1989, "Genetic Algorithms", Addison-Wesley
- Kerzberg M, Dehaene S, and Changeux JP, 1992, "Stabilization of complex input-output functions in neural clusters formed by synapse selection", *Neural Networks*, 5:403-413
- Linsker R, 1986.a, "From basic network principles to neural architecture: emergence of spatial-opponent cells", *Proceedings of the National Academy of Science USA*, 83:7508-7512
- Linsker R, 1986.b, "From basic network principles to neural architecture: emergence of orientation-selective cells", *Proceedings of the National Academy of Science USA*, 83:8390-8394
- Linsker R, 1986.c, "From basic network principles to neural architecture: emergence of orientation columns", *Proceedings of the National Academy of Science USA*, 83:8779-8783
- Miller KD, 1992, "Development of orientation columns via competition between on and off-center inputs", *NeuroReport* 3:73-76
- Miller KD, 1994, "A model for the development of simple cell receptive fields and the ordered arrangement of orientation columns through activity-dependant competition between on and off-center inputs", *the Journal of Neuroscience*, 14:409-441
- Press WH, 1988, "Numerical recipes in C: the art of scientific computing", Cambridge University Press, Cambridge, MASS
- Shrager J and Johnson MH, 1995, "Modeling the development of cortical function", in Kovacks I and Julesz B, Eds., "Maturational Windows and Cortical Plasticity" (working title), The Santa Fe Institute Press, Santa Fe, NM
- von der Malsburg C, 1973, "Self-organisation of orientation sensitive cells in the striate cortex", *Kybernetik*, 14:85-100